

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

C. Soto-Jara

Art Unit

1646

Serial No. : 09/706,540

Filed : November 4, 2000

For : PEPTIDE ANALOGS AND MIMETICS SUITABLE FOR IN VIVO USE IN THE  
TREATMENT OF DISEASES ASSOCIATED WITH ABNORMAL PROTEIN  
FOLDING INTO AMYLOID, AMYLOID-LIKE DEPOSITS OR  $\beta$ -SHEET RICH  
PATHOLOGICAL PRECURSOR THEREOF

Office of the Initial Patent Examination's  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

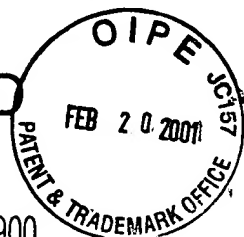
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FEB 20 2001

PATENT & TRADEMARK OFFICE



REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of A CORRECTED official filing receipt from the PTO in the subject application for which issuance of a newly corrected filing receipt is respectfully requested.
2. A check in the amount of \$240.00 is enclosed to cover the payment of 3 Independent Claims.
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X incorrectly entered and/or ☐ omitted.
3. Complete the following applicable item A or B:  
A. X The correction(s) is/are not due to any error by applicant(s) and no fee is due.  
B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 should be charged to Account No.

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ERROR IN

CORRECT DATA

|   |                                  |
|---|----------------------------------|
| 1. <input type="checkbox"/> Applicants' Names           | 1.                               |
| 2. X Applicants' Addresses                              | 2. Laconnex, Geneva, Switzerland |
| 3. <input type="checkbox"/> Title                       | 3.                               |
| 4. <input type="checkbox"/> Filing Date                 | 4.                               |
| 5. <input type="checkbox"/> Serial Number               | 5.                               |
| 6. <input type="checkbox"/> Foreign/PCT Application Re: | 6.                               |
| 7. X Other Number of Independent Claims                 | 7. 6                             |

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02/28/2001 SSANDARA 00000005 09706540

01 FC:102

240.00 OP

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Signature:  
(Print Name)

Tracie Calderone  
Tracie Calderone

Date: February 16, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO  | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/706,540         | 11/04/2000  | 1646         | 710           | AXONYX-<br>NYU-4 | 8        | 19         | 16         |

26345  
GIBBONS, DEL DEO, DOLAN, GRIFFINGER & VECCHIONE  
1 RIVERFRONT PLAZA  
NEWARK, NJ 07102-5497

## FILING RECEIPT



\*OC00000005756745\*

Date Mailed: 02/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Claudio Soto-Jara, Residence, ~~NOT PROVIDED~~, Laconnex, Geneva, Switzerland

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/163,911 11/05/1999

## Foreign Applications

If Required, Foreign Filing License Granted 02/12/2001

## Title

Peptide analogs and mimetics suitable for in vivo use in the treatment of diseases associated with abnormal protein folding into amyloid, amyloid-like deposits or beta-sheet rich pathological precursor thereof

## Preliminary Class

530

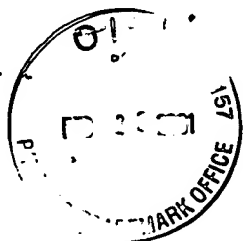
Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 02/12/2001



2/12/01



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 6335

|                                    |   |              |                               |  |
|------------------------------------|---|--------------|-------------------------------|--|
| <b>SERIAL NUMBER</b><br>09/706,540 | <b>FILING DATE</b><br>11/04/2000<br><b>RULE</b> | <b>CLASS</b> | <b>GROUP ART UNIT</b><br>1647 | <b>ATTORNEY DOCKET NO.</b><br>AXONYX-NYU-4 |
|------------------------------------|---|--------------|-------------------------------|--|

## APPLICANTS

Claudio Soto-Jara, Laconnex Geneva, SWITZERLAND;

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## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/163,911 11/05/1999

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE

GRANTED \*\* 02/12/2001

|  |  |                            |                           |                                |
|--|--|----------------------------|---------------------------|--------------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input type="checkbox"/> no   | <b>STATE OR COUNTRY</b><br>SWITZERLAND | <b>SHEETS DRAWING</b><br>8 | <b>TOTAL CLAIMS</b><br>19 | <b>INDEPENDENT CLAIMS</b><br>6 |
| 35 USC 119 (a-d) conditions met<br><input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance |  |                            |                           |                                |
| Verified and Acknowledged  | Examiner's Signature                   | Initials                   |                           |                                |

## ADDRESS

26345

## TITLE

Peptide analogs and mimetics suitable for in vivo use in the treatment of diseases associated with abnormal protein folding into amyloid, amyloid-like deposits or beta-sheet rich pathological precursor thereof

|                                    |   |  |
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| <b>FILING FEE RECEIVED</b><br>1080 | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees                              |
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|                                    |   | <input type="checkbox"/> Credit                                |